



Fiscal Year 2013

Transit Security Grant Program

Transportation Security Administration

The Department of Homeland Security (DHS) will provide a total of \$93.2M for Public Transportation and Railroad Security assistance in Fiscal Year (FY) 2013. Of this amount, \$83.7M is allocated for the Transit Security Grant Program (TSGP) and \$9.49M is allocated for the National Passenger Rail Corporation (Amtrak), a carve-out of TSGP.

The programs provide funds to protect critical surface transportation infrastructure such as passenger rail, intracity bus networks and ferry systems, and the traveling public, from acts of terrorism. Funding Opportunity Announcements (FOA), or guidance documents, are available for each grant program.

FY 2013 TSGP Highlights

Important highlights in the FY 2013 TSGP include the following:

- **Framework** – The TSGP will be wholly competitive without tiers or target allocations, and focus on remediating vulnerabilities. As such, operational projects linked to identified deficiencies and “shovel ready” remediation projects for TTAL assets are prioritized ahead of all other security projects.
- **Eligibility** – Remains the same from the FY 2012 TSGP. Eligible transit agencies were determined based on daily unlinked passenger trips (ridership) and transit systems that serve historic Urban Areas Security Initiative (UASI) jurisdictions. Please refer to the FY 2013 TSGP FOA for the full list of eligible transit agencies.
- **Operational Packages (OPacks) Eligibility** – Similar to the FY 2012 program, OPack eligibility in FY 2013 is not driven by tiers or ridership. Rather, any transit agency having a dedicated transit security/police force of 50 or more full-time or full-time equivalent, sworn officers may apply for OPack funding to build new capabilities or sustain existing capabilities. Any agency may also apply for non-personnel aspects of operational packages (e.g., equipment and support).
- **Top Transit Asset List (TTAL)** - In support of the TSGP, DHS developed the TTAL to identify those assets it considers nationally critical to surface transportation. This prioritized list was developed by examining the highest, criticality-type assets at the highest-risk regions and intelligence information. These assets were then analyzed based on threats, vulnerabilities, and consequences. Remediation projects for assets on the TTAL will be given priority funding consideration over other capital projects. (Please see “Priority Projects for FY 2013” below for discussion on funding priorities.)

- **“Shovel-Ready” Capital Projects** - Priority will be given to “shovel-ready” capital projects, ahead of other capital projects, that have complete designs/remediation plans and can be implemented quickly.
- **Investment Justifications and Detailed Budgets Required** – Applicants are required to develop an Investment Justification (IJ) and Detailed Budget that address each initiative proposed for funding. An IJ must demonstrate how each proposed project addresses gaps and deficiencies in current programs and capabilities, as identified in the security assessment or security plan. Each agency may submit a total of **eight (8) IJs per region**. Agencies may also submit up to **five (5) additional IJs for projects related to law enforcement providers that are not part of the transit agency**.
- **Presidential Policy Directive 8: National Preparedness (PPD-8)**: PPD-8 was signed on March 30, 2011 and describes the Nation’s approach to preparing for the threats and hazards that pose the greatest risk to the security of the United States. Core Capabilities are essential for the execution of each of the five mission areas described in the National Preparedness Goal (NPG), however are not exclusive to any single level of government or organization but rather require the combined effort of the whole community. The FY 2013 TSGP supports grantee efforts to build and sustain Core Capabilities in the Prevention, Protection, Mitigation, Response, and Recovery mission areas based on allowable costs. More information on the National Preparedness System can be found in the National Preparedness System Description (released November 2011), located at <http://www.fema.gov/nationalpreparedness>.
- **Period of Performance** - The award period of performance is **24 months**. In accordance with FEMA Information Bulletin (IB) No. 379, extensions to the period of performance will be considered only through formal requests to FEMA with specific and compelling justifications as to why an extension is required. For more information, please refer to IB 379 located at <http://www.fema.gov/grants/grant-programs-directorate-information-bulletins>.
- **Cost Share Requirement** – There is no cost share requirement for the FY 2013 TSGP.
- **Minimum Project Request Amounts** - There is no minimum project request amount.
- **Management and Administration (M&A)** - M&A remains five percent (5%) for grantees in FY 2013.
- **Maintenance and Sustainment Costs** – As with FY 2012, maintenance and sustainment costs are allowable under all active and future grant awards. The DHS Secretary’s (FOUO) Memorandum to State Agencies issued on February 13, 2012 concerning Expenditure of Certain DHS/Federal Emergency Management Agency (FEMA) Grant Funding expanded DHS/FEMA policy. This expansion allows for the support of equipment, training, and critical resources that have been purchased with either federal grants **or** any other source of funding as long as direct linkage to one of the core capabilities in the five mission areas within the National Preparedness Goal (NPG) exists, and the capabilities must be sharable through the Emergency Management Assistance Compact (EMAC). The costs must also be allowable through

the fiscal year grant program they were awarded. Information on NPG is located at <http://www.fema.gov/pdf/prepared/npg.pdf> and information on the EMAC is located at <http://www.emacweb.org/>.

- **Scoring Methodology** – The scoring methodology consists of four parts:
 - Agency's risk group score
 - Project's Funding Priority Area score
 - Potential risk mitigation of the project
 - Regional collaboration (where appropriate)

Priority Projects for FY 2013

The FY 2013 TSGP framework focuses funding on remediating vulnerabilities. Operational funding is focused on training, public awareness, drills and exercises, and security planning, with those projects linked to known deficiencies as identified in Baseline Assessment for Security Enhancement (BASE) reviews prioritized ahead of other operational activities and projects. Capital funding is focused on "shovel ready" hardening of high risk assets, with assets on the TTAL prioritized ahead of all other capital security projects. For FY 2013, \$83.7M has been allocated to fund these activities, based on the following funding priorities:

- **Priority A Operational activities:** Training, public awareness, drills and exercises, security planning.
- **Priority B TTAL Remediation:** Hardening of assets on the TTAL that have complete remediation plans, including Environment and Historic Preservation (EHP) documentation and are in progress or considered "shovel-ready."
- **Priority C OPacks/Surge Patrols:** Development of new capabilities and sustainment of existing capabilities to enhance visible, unpredictable deterrence efforts in transit, including canine teams, anti-terrorism teams, mobile explosives detection screening teams, patrols on overtime, equipment and other support.
- **Priority D All Other Projects:** All other Capital Projects not included in the above priorities, with priority given to "shovel-ready" projects.

The FY 2013 TSGP FOA includes a list of potential project types; the sample project types are not comprehensive and projects not listed may be eligible for funding if they fall into one of the funding priority areas. Allowable cost categories generally include: planning, operational activities, equipment acquisitions, training (courses and backfill/overtime costs), exercise development and execution, maintenance and sustainment, and M&A.

How to Apply for Grant Funding

Eligible transit agencies interested in applying for TSGP funding are encouraged to begin the application process as soon as possible. Applicants should be aware that the process involves a number of steps that can take several weeks to complete, and can be fairly time-consuming. The basic registration steps (described in detail at www.grants.gov) include:

- **Obtaining a user password at www.grants.gov** – This is a one-time process. It could take three to five business days for the password to be confirmed.
- **Obtaining a DUNS Number** – Applicants must provide a Dun and Bradstreet Data Universal Number System (DUNS) with their application. This number is required to apply for Federal assistance. Organizations should verify that they have a DUNS number, or take the steps necessary to obtain one, as soon as possible. Applicants can receive a DUNS number at no cost by calling the dedicated toll-free DUNS Number request line at (866) 705-5711.
- **Creating a user profile with the Central Contractor Registration at www.ccr.gov** – It can take five to seven business days for the profile to be validated.
- **Registering with www.grants.gov** – This includes identification of individuals who are authorized to submit grant applications, which could also take several days to confirm.
- **Registering with ND Grants** - The application must be completed and final submission made through the ND Grants system located at <https://portal.fema.gov>. If you need assistance registering for the ND Grants system, please contact FEMA's Enterprise Service Desk at (888) 457-3362.

Applicants can download and begin filling out the grant application at any time, though the applications can be submitted only after the steps above are completed.

Important Application Dates

- **May 21, 2013** – DHS releases grant FOA; application start date.
- **June 17, 2013** – Standard Form 424 due in *grants.gov* to establish intentions of applying for grant under TSGP, allowing FEMA a chance to review to confirm eligibility.
- **June 24, 2013** – Completed application packets must be submitted electronically via FEMA's ND Grants system **no later than 11:59 PM EDT**. Late applications will neither be considered nor reviewed.
- **August 02, 2013** – Anticipated Funding Selection announcement date.

For More Information

Below are sources of information to learn more about the TSGP and other surface transportation grant programs:

- **TSGP Conference Calls** – A roll-out conference call will be held May 29, 2013, with a subsequent informational call taking place on June 12, 2013. All conference calls will be held at 2:00 PM EDT. The conference line is 1-800-320-4330, PIN # 756930.

- **On-Line** – Due to federal travel restrictions, in-person workshops will not be conducted for the FY 2013 surface transportation grant programs. For more information, please refer to presentations found on the FEMA website (<http://www.fema.gov/preparedness-non-disaster-grants>) and the TSA website (<http://www.tsa.gov/grants>). Additional assistance is available by contacting ASKCsid@dhs.gov.
- **TSA Grants Website** – www.tsa.gov/grants
- **TSA Grants Email** – TSAGrants@tsa.dhs.gov
- **FEMA Grants Website** – www.fema.gov/grants
- **DHS Centralized Scheduling and Information Desk (CSID)** – Call 1-800-368-6498 or e-mail ASKCsid@dhs.gov
- **Grants.gov website** – www.grants.gov
- **Non-Disaster Grants** - <https://portal.fema.gov>